

(On the way to) Success Stories From Non-Space Domains

<u>10th ESA Workshop on Avionics, Data, Control and</u> <u>Software Systems</u> ESA/ESTEC , Noordwijk - The Netherlands (19th October)

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Outline

- Executive summary
- **Introduction**
- The wind turbine example



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The railway example



The industrial example



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- The automotive example
- Conclusions and lessons learnt

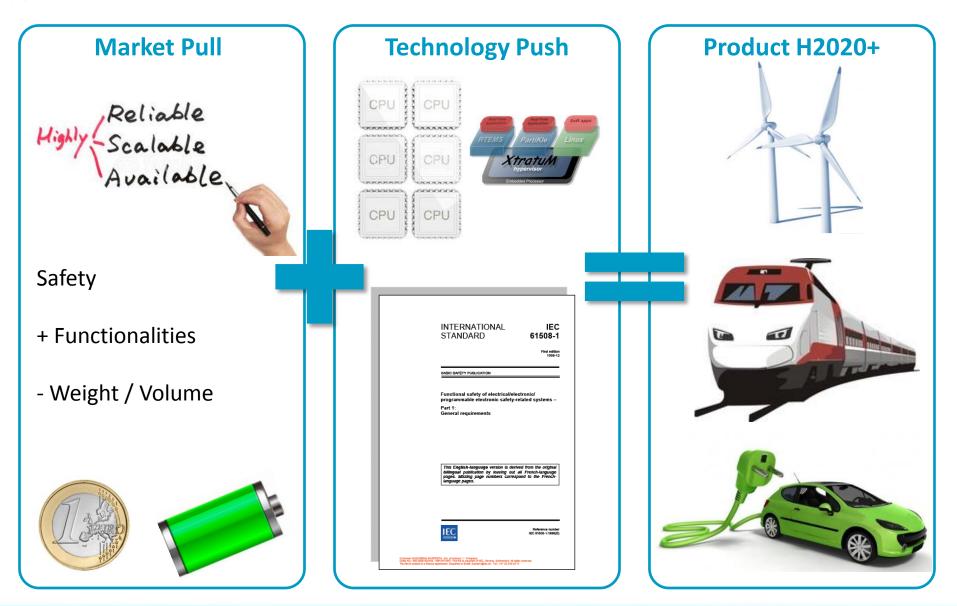
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Executive Summary

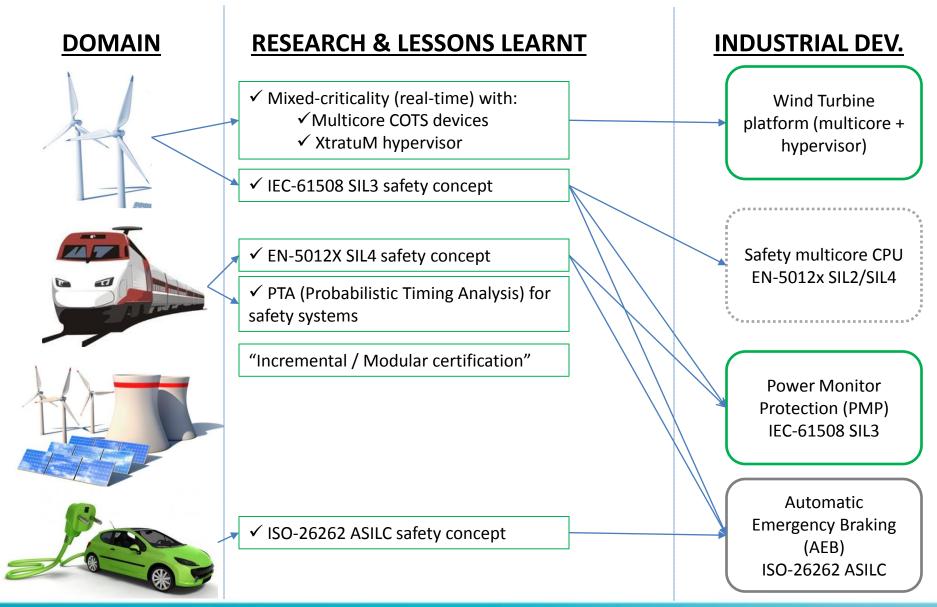






Presentation in a nutshell





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02

Introduction

Some Research Projects: Multicore & mixed-criticality



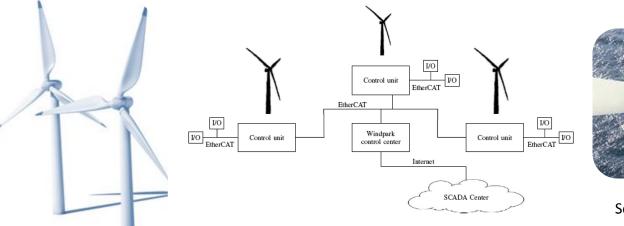


Off-shore Wind Turbine



A modern off-shore wind turbine dependable control system manages [1,2]:

- **I/Os:** up to three thousand inputs / outputs.
- Function & Nodes: several hundreds of functions distributed over several hundred of nodes.
- **Distributed:** grouped into eight subsystems interconnected with a fieldbus.
- **Software:** several hundred thousand lines of code.





Source: www.alstom.com

- [1] Pérez, J., et al. (2014). A safety concept for a wind power mixed-criticality embedded system based on multicore partitioning. Functional Safety in Industry Application, 11th International TÜV Rheinland Symposium, Cologne, Germany.
- [2] Pérez, J., et al. (2014). "A safety certification strategy for IEC-61508 compliant industrial mixed-criticality systems based on multicore partitioning." Euromicro DSD/SEAA Verona, Italy.





Automotive domain:

- The software component in high-end cars currently totals around 20 million lines of code, deployed on as many as 70 ECUs [1].
- Automotive electronics accounts for some 30 % of overall production costs and is rising steadily [1].
- A premium car implements about 270 functions that a user interacts with, deployed over 67 independent embedded platforms, amounting to about 65 megabytes of binary code [2].



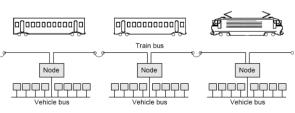
- [1] Darren Buttle, ETAS GmbH, Germany, Real-Time in the Prime-Time, ECRTS (KEYNOTE TALK), 2012.
- [2] Christian Salzmann and Thomas Stauner. Automotive software engineering. In Languages for System Specification, pages 333–347. Springer US, 2004.
- [3] Leohold, J. Communication Requirements for Automotive Systems. 5thIEEE Workshop on Factory Communication Systems (WCFS). Wien, 2004.
- [4] National Instruments, How engineers are reinventing the automobile, <u>http://www.ni.com/newsletter/51684/en/</u>, 2013.

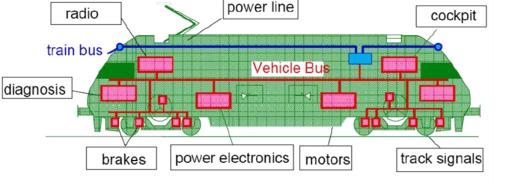
On-board) Railway



(On-board) railway domain:

- The ever increasing request for safety, better performance, energy efficient, environmentally friendly and cost reduction in modern railway trains have forced the introduction of sophisticated dependable embedded systems [1].
- The number of ECUs (Electric Control Units) within a train system is of the order of a few hundred [2,3].
- **¤** Groups of distributed embedded systems:
 - Train Control Unit.
 - Railway Signalling (e.g. ETCS).
 - Traction Control.
 - Brake Control.
 - Etc.



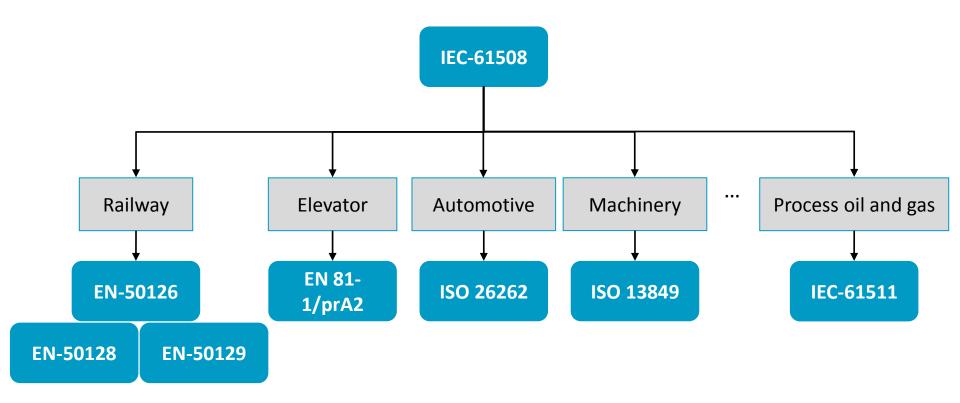


- [1] The European Rail Research Advisory Council (ERRAC), Joint Strategy for European Rail Research 2020.
- [2] Kirrmann, H. and P. A. Zuber (2001). "The IEC/IEEE Train Communication Network." IEEE Micro vol. 21, no. 2: 81-92.
- [3] F. Corbier, et al, *How Train Transportation Design Challenges can be addressed with Simulation-based Virtual Prototyping for Distributed Systems,* 3rdEuropean congress Embedded Real Time Software (ERTS), France, 2006.

Safety certification (IEC-61508)



IEC-61508: Functional safety of electrical / electronic / programmable electronic safetyrelated systems.



Mixed Criticality – Already in the market



There are already certified Mixed-Criticality safety systems already in the market:

- **x** Some of them based on safety RTOS that provide partitioning (e.g., INTEGRITY)
- x Some of them based on 'ad-hoc' solutions, e.g.,
 - A Linux based non-safety related application
 - A periodic Non-Maskable Interrupt (NMI) that implements the safety function



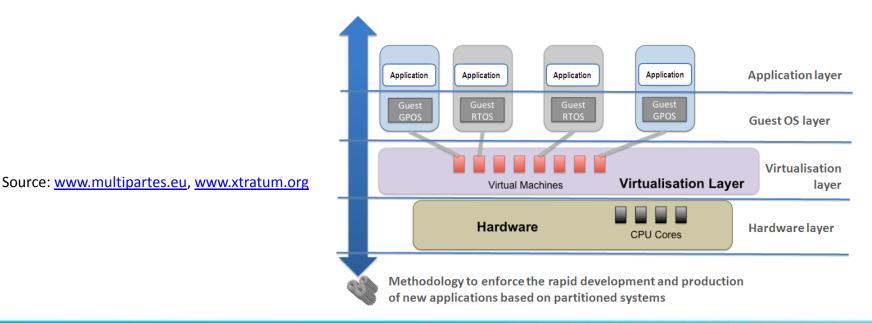
Mixed Criticality



The integration of applications of different criticality (safety, security, real-time and non-real time) in a single computational unit is referred as mixed-criticality system.

The focus is:

- **x** Systematic approach (and not 'ad-hoc' approach) that considers:
 - Composition of mixed-criticality functionalities provided by 'third parties' and 'legacy SW'
 - Safety certification approach, methodology and restrictions according to applicable standards
- Using available COTS 'multicore devices' and 'hypervisor' technology

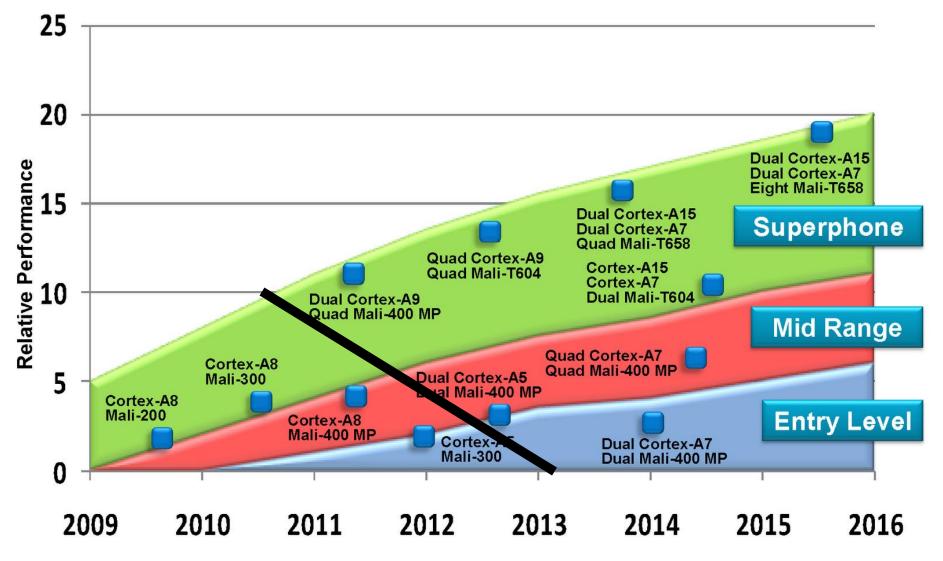


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02.A

Introduction - Multicore

Multicore – Smartphones



Source: <u>www.arm.com</u>

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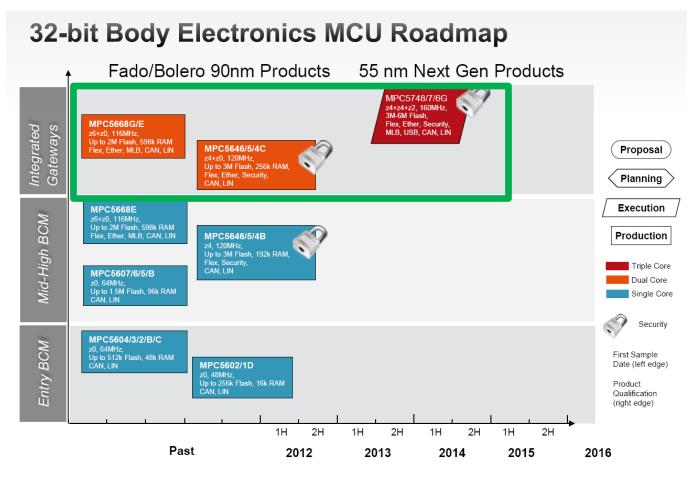
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Multicore - Automotive



2nd International Conference Automotive Embedded Multi-Core Systems.

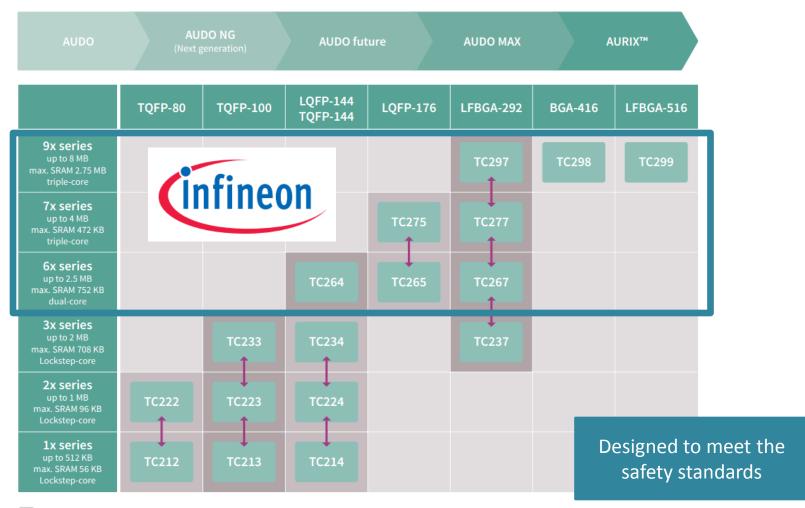
Roadmaps:



Source: www.freescale.com



5th Generation Infineon TriCore Processors: AURIX

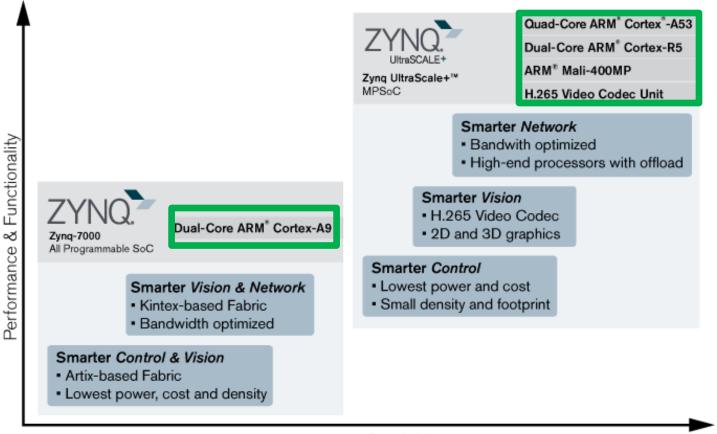


1 Upgrade/downgrade with pin-compatible packages

Source: http://www.infineon.com/aurix (Highly integrated and performance optimized 32-bit microcontrollers for automotive and industrial applications)

Multicore - Generic purpose





Capability

Source: www.xilinx.com

IEC-61508 and multicore



IEC-61508-3 Annex F (Informative) – "Techniques for achieving non-interference between software elements on a single computer"

- "<u>Independence of execution</u> should be achieved and demonstrated both in the spatial and temporal domains."
 - **"Spatial:** <u>the data used by a one element shall not be changed by a another element</u>. In particular, it shall not be changed by a non-safety related element."
 - "Temporal: <u>one element shall not cause another element to function incorrectly</u> by taking too high a share of the available processor execution time, or by blocking execution of the other element by locking a shared resource of some kind"
- " "The term "independence of execution" means that elements will not adversely interfere with each other's execution behavior such that a dangerous failure would occur."

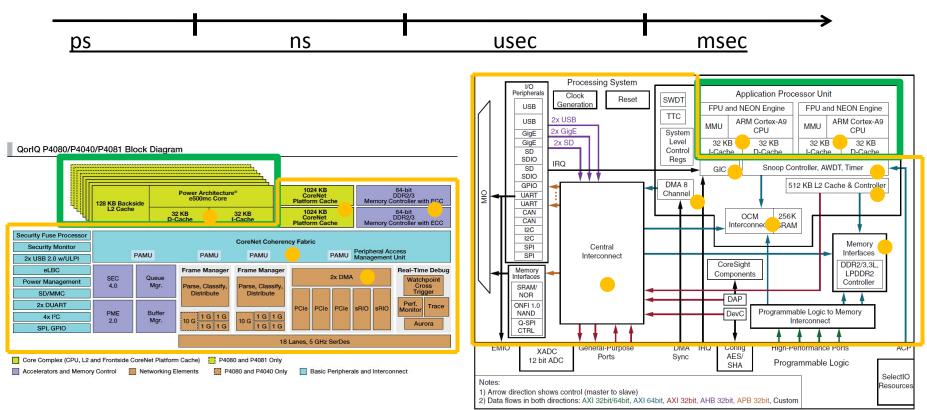
Threats to be considered and managed





Temporal & Spatial <u>independence</u>, e.g., Shared resources (e.g., memory, cache, bus, interrupts) [1]

Which is the time-scale of the temporal interference?



[1] Kotaba, O., et al. (2013). Multicore In Real-Time Systems – Temporal Isolation Challenges Due To Shared Resources. Workshop on Industry-Driven Approaches for Cost-effective Certification of Safety-Critical, Mixed-Criticality Systems (WICERT). Dresden (Germany).

Source: <u>www.freescale.com</u>, <u>www.xilinx.com</u>

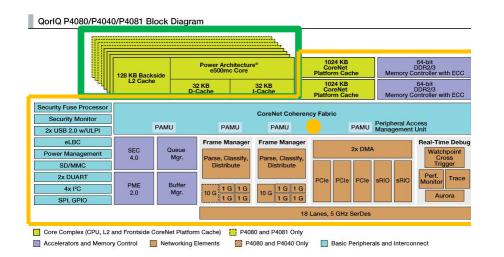
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Threats to be considered and managed 🐲



Complex (new) hardware components, e.g., Core interconnect fabric Lack of detailed documentation



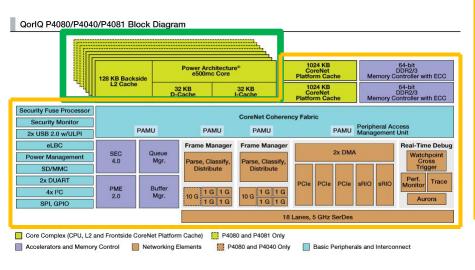
[1] http://www.advancedsubstratenews.com/2009/12/multicores-perfect-balance/

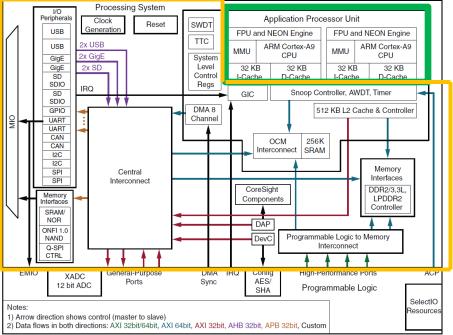
Threats to be considered and managed 🦛



Worst Case Execution Time (WCET)







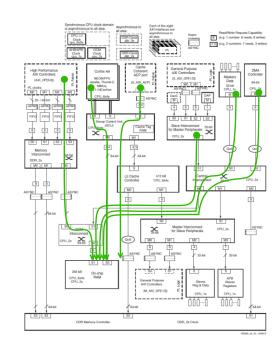
Source: www.freescale.com, www.xilinx.com

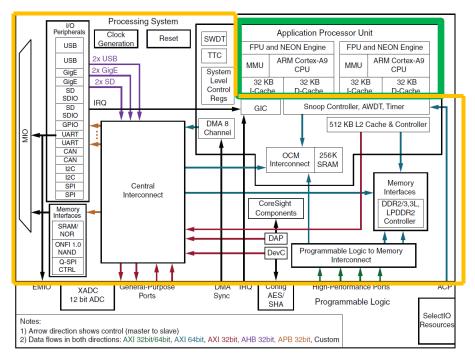
Threats to be considered and managed 🐲

Interference among safety related and non safety related functions, e.g.,

- Safe startup and boot
- ◻ Safe shutdown
- Safe configuration
- Exclusive access to peripherals
- Resource virtualization

Diagnosis





Source: www.freescale.com, www.xilinx.com

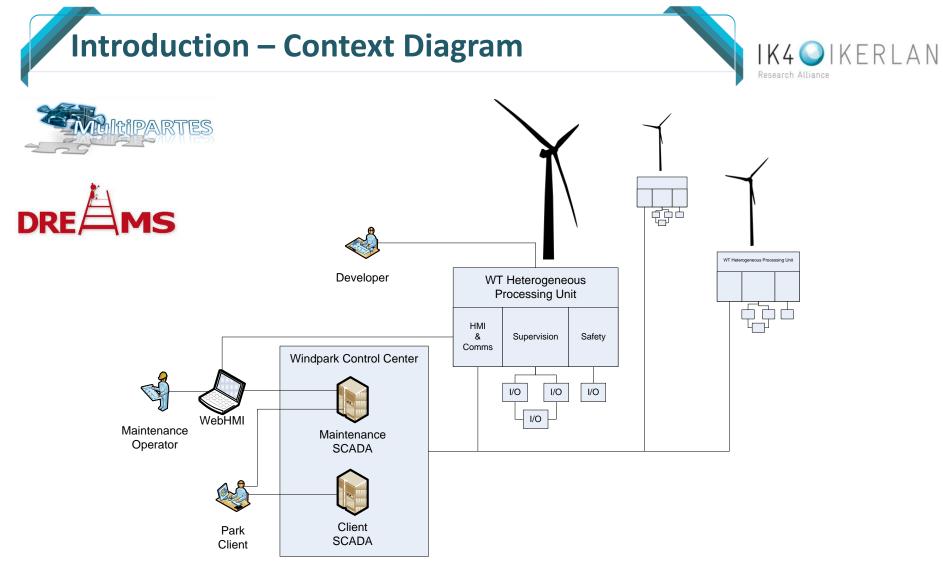
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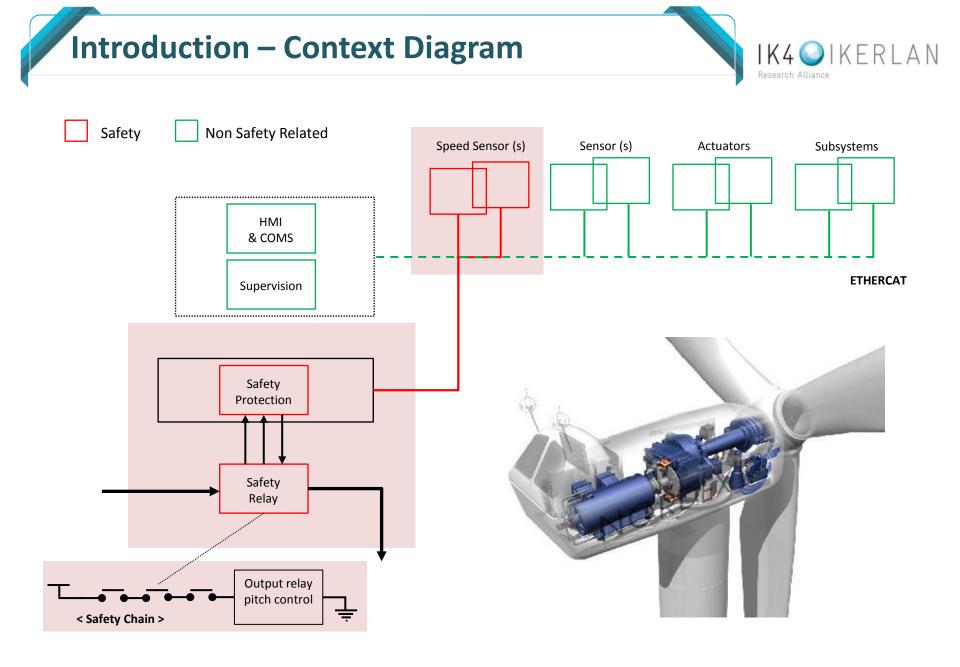
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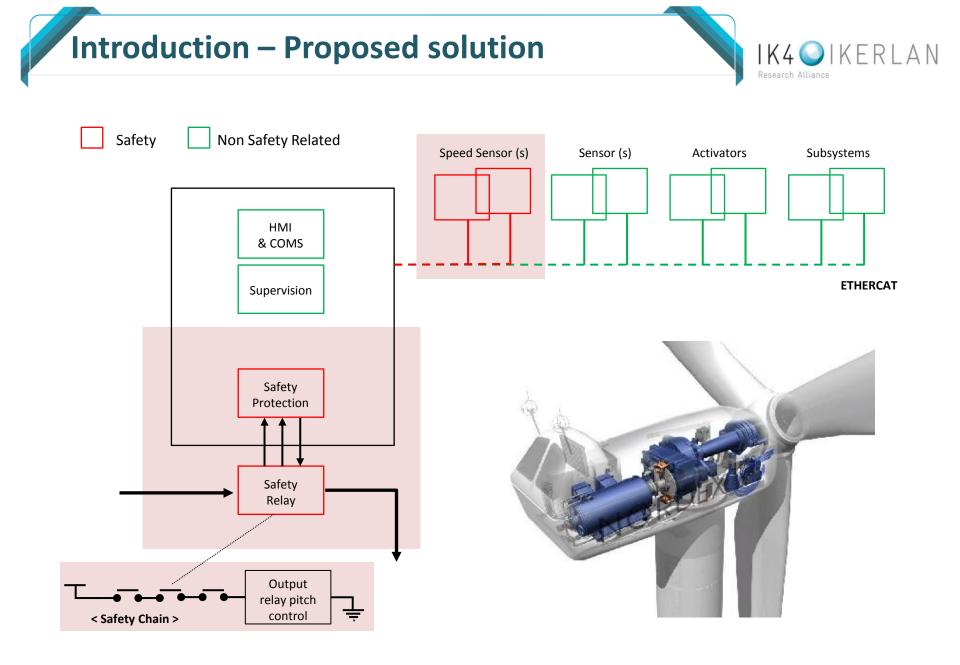
03

The wind turbine example



- [1] Pérez, J., et al. (2014). A safety concept for a wind power mixed-criticality embedded system based on multicore partitioning. Functional Safety in Industry Application, 11th International TÜV Rheinland Symposium, Cologne, Germany.
- [2] Pérez, J., et al. (2014). "A safety certification strategy for IEC-61508 compliant industrial mixed-criticality systems based on multicore partitioning." Euromicro DSD/SEAA Verona, Italy.
- [3] Pérez, J. and A. Trapman (2013). Deliverable D7.2 (Annex) Wind power case-study safety concept, FP7 MultiPARTES.

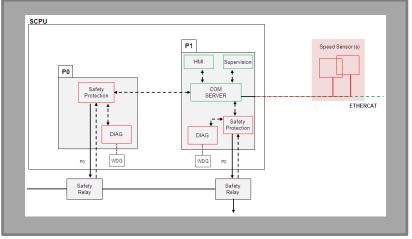




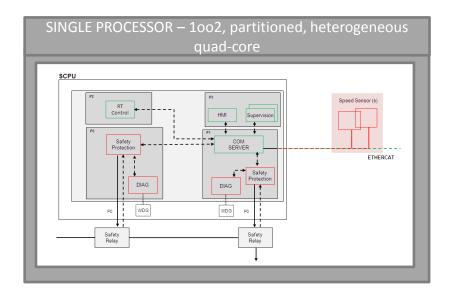
Safety concept – the approach







- Safety concept based on 'common practice in industry'
- ≍ Serves as a reference, not detailed

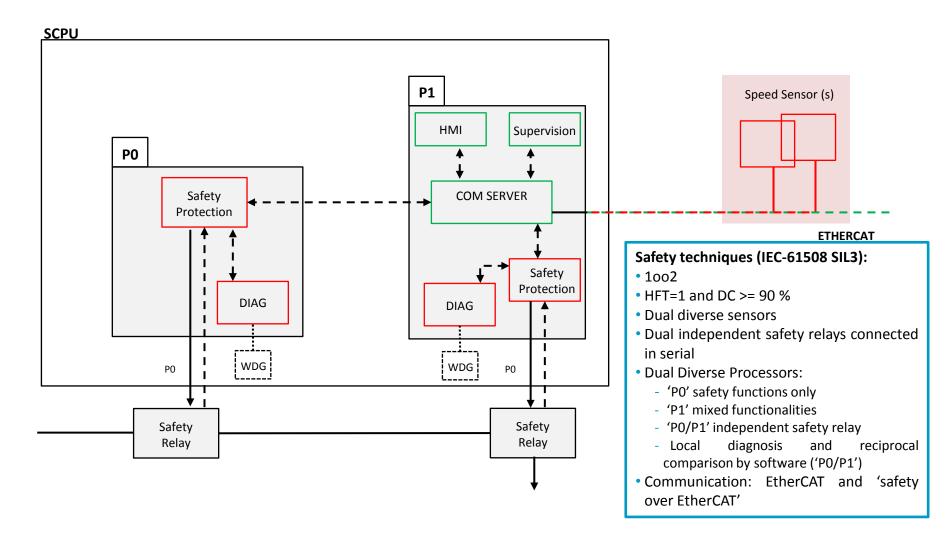


- Analogous safety concept using heterogeneous multicore and hypervisor
- **¤** The MultiPARTES contribution





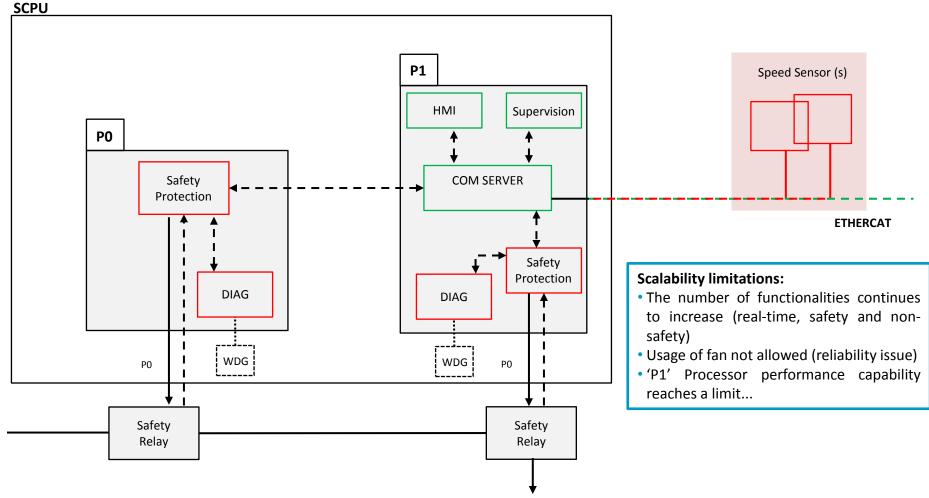
DUAL-PROCESSOR – 1002







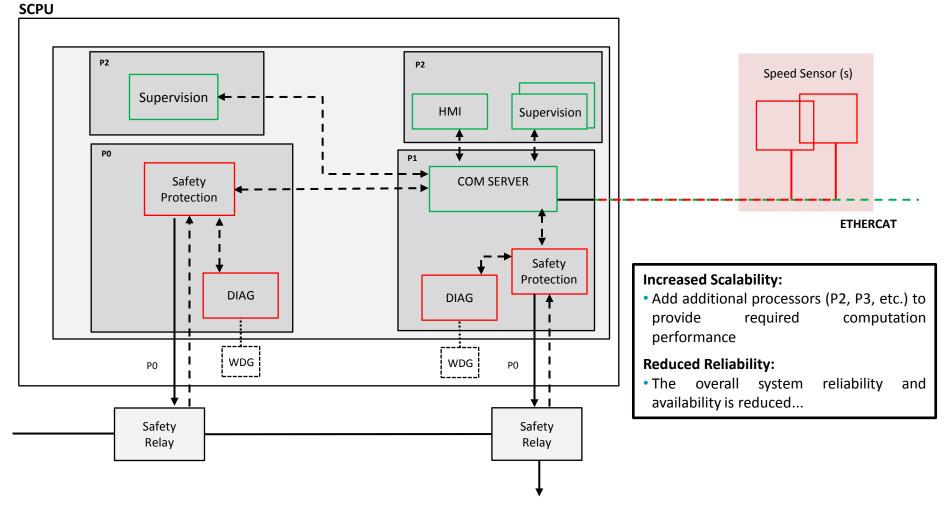
DUAL-PROCESSOR – 1002



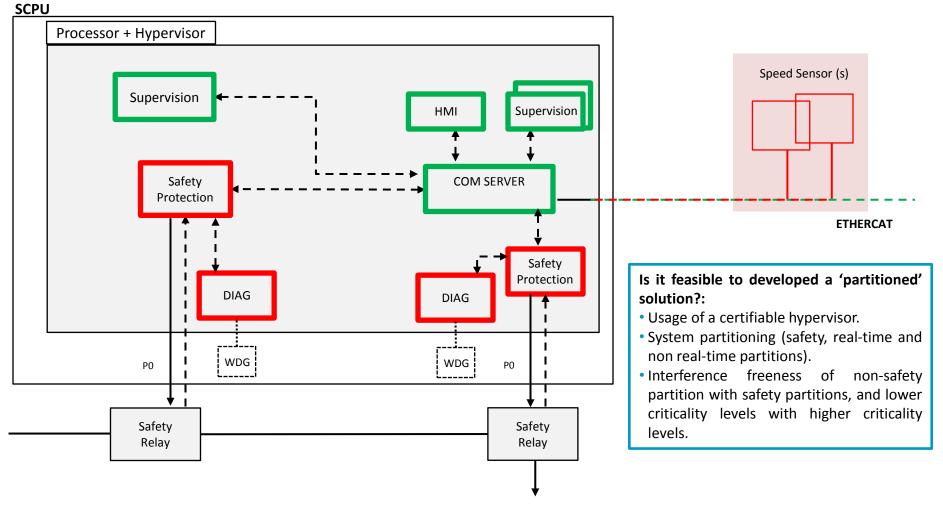
Safety Concept – (A- 'Traditional')



N PROCESSOR – 1002



PARTITIONED

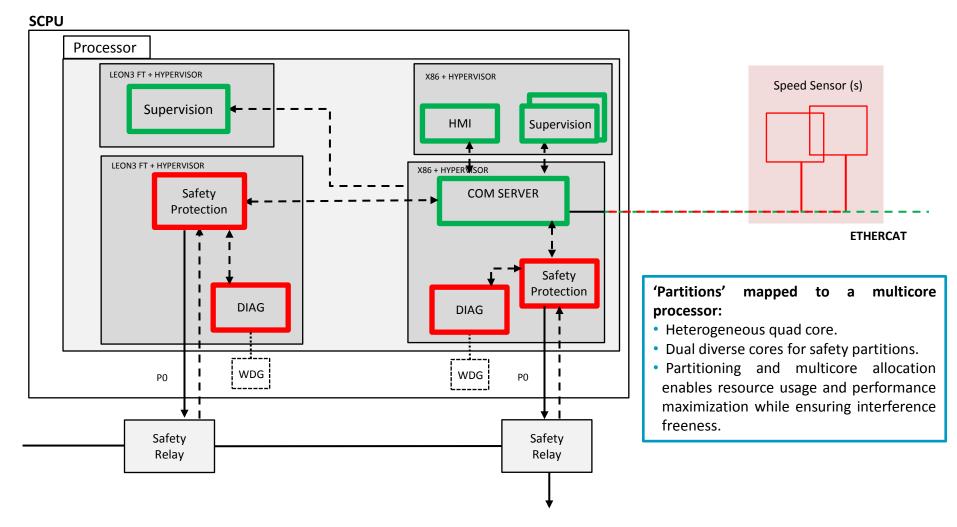


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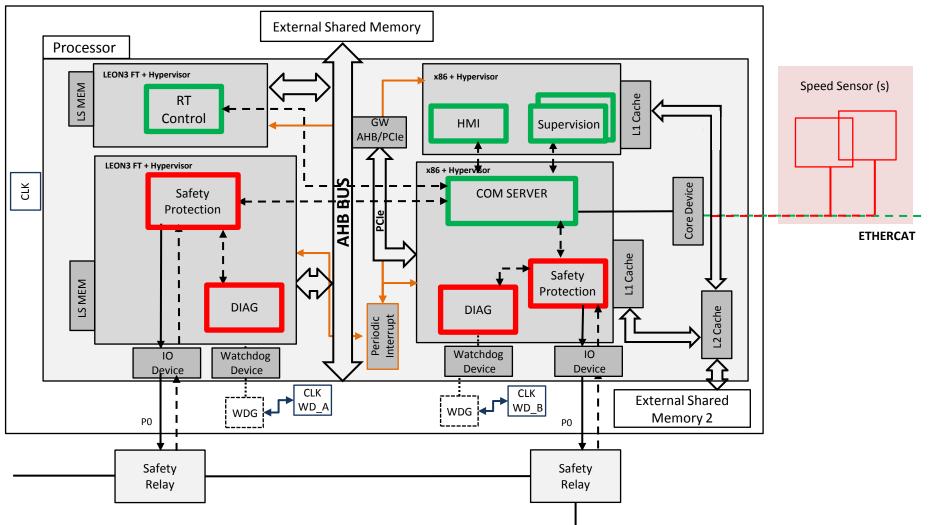
Safety CPU single processor quad core partitioned – 1002





Safety CPU single processor quad core partitioned – 1002

SCPU

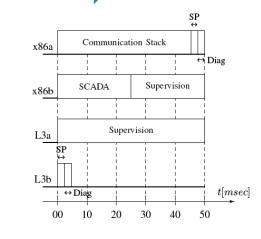


Scheduling (IEC-61508-3 Annex E):

- ◻ Defined at design time.
- Synchronized based on the global notion of time.

Diagnosis:

- The partition should be self contained and should provide safety life-cycle related techniques and platform independent diagnosis abstracted from the details of the underlying platform.
- The hardware provides autonomous diagnosis and diagnosis components to be commanded by software.
- The hypervisor and associated diagnosis partitions should support platform related diagnosis.
- The system architect specifies and integrates additional diagnosis partitions required to develop a safe product taking into consideration all safety manuals.



^[1] H. Kopetz, On the Fault Hypothesis for a Safety-Critical Real-Time System, ser. Lecture Notes in Computer Science. Springer Berlin Heidelberg, 2006, vol. 4147, ch. 3, pp. 31–42.

Industrial Development – ALSTOM

GALILEO V4 SUPERVISION AND CONTROL SYSTEM

Share: 🧗 🗾 8



An electronic platform for controlling onboard applications

CUSTOMER GENERAL ELECTRIC

SECTOR Energy

The Galileo system is an electronic platform in real time to control onboard applications, which are traditionally used to execute the supervision and control system of wind turbines. IK4-IKERLAN has been collaborating with Alstom to design, develop and validate a new version, Galileo V4, geared towards controlling off-shore wind turbines, and equipped to handle other types of applications like wind farm control.

CHALLENGES AND RESULTS

- Retrocompatibility: capacity to execute the same application software as the previous versions.
- Configurability: possibility of handling various fieldbuses
- Power: the platform's computing capacity is capable of responding to the demand for off-shore applications.
- Universality: applicable to a variety of onboard applications in the sector.

http://www.ikerlan.es/en/rd-companies/projects/galileo-v4supervision-and-control-system

Alstom Renewables, Galileo platform for offshore wind turbines:

- 1. Multicore COTS platform (x86)
- 2. COTS Hypervisor
- 3. Combines:
 - Control partitions (real-time)
 - HMI & SCADA communication partition (QoS)
- 4. Multiple RTOS / GPOS
- 5. Comms: EtherCAT, OPC-DA, Web Services, etc.

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The railway example

Industrial projects – CAF railway



Supervision and protection for trains



Electronic platform for controlling safety applications



CUSTOMER **GRUPO CAF** PROGRAMME

SECTOR Transport State administration: Cenit-Ecotrans

We have collaborated with CAF on the VEGA (Vehicle Electronics for Generic Applications) project, on the electronic design, validation and verification of an electronic platform to control safety applications for trains. Specifically, these are applications that control tilting, traction or signalling.

CHALLENGES AND RESULTS

- Safety: an electronic system with safety requirements (SIL2-SIL4).
- Modularity: a modular, multiprocessing and scalable platform.
- Universality: applicable to a broad variety of trainborne railway applications (dependable and non-dependable).

CUSTOMER **GRUPO CAF**

PROGRAMME State administration: INNPACTO

SECTOR Transport

The ROSAE project (Railway Operation and Safety - Trainborne ETCS) consists of the development of a SIL 4 system, which undertakes to supervise and protect the train in accordance with the information supplied from outside. The development has been based on a piece of triple redundancy hardware, various inlet / outlet interfaces, sensorization and communication, and some distributed, dependable software. All with the maximum SIL 4 specification.

CHALLENGES AND RESULTS

- Integrated system: HW + SW + VHDL
- Redundancy: triplicated system.
- Integrity level: maximum, SIL 4

http://www.ikerlan.es/en/rd-companies/projects/vega http://www.ikerlan.es/en/rd-companies/projects/rosae



Introduction – Safety Concept

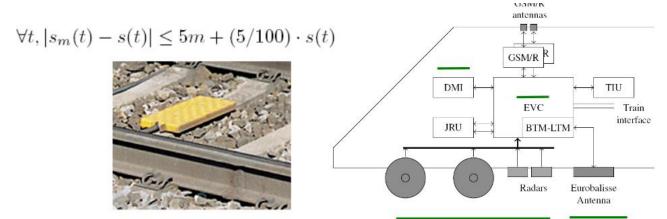


Railway Case Study composed of:

- ◻ SIL4: Simplified SIL4 ETCS railway signaling subsystem (sETCS)
- SILO: Traction control subsystem

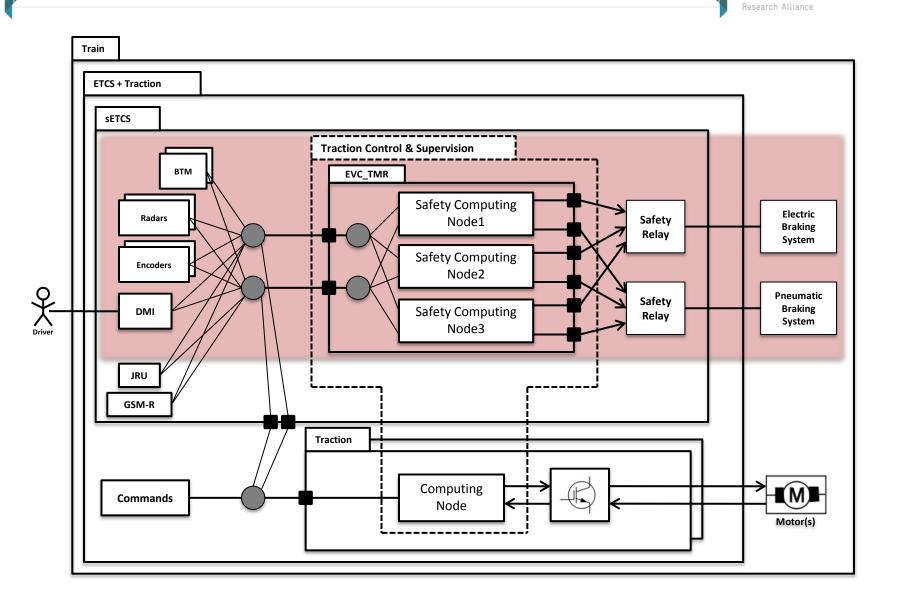
ERTMS / ETCS:

- ERTMS (European Railway Traffic Management System) is an European union backed initiative for the definition of a unique train signaling standard.
- ☑ ETCS (European Train Control System) is the on-board automatic train protection, safety-critical embedded system, that protects the train by supervising the traveled distance and speed, activating the emergency brake if authorized values are exceeded.





Safety Concept – System Level - Traditional



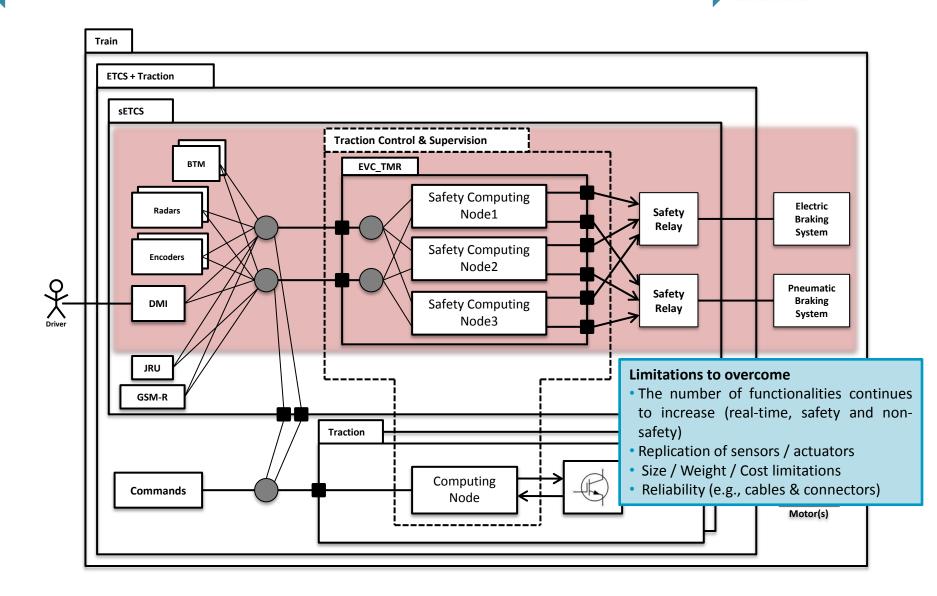
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Safety Concept – System Level - Traditional



Architecture	European Vital Computer (EVC) is SIL4, Composite Fail Safety:		
	1. Triple Modular Redundancy: IEC-61508 → HFT=1		
	2. Platform compliant item: Each node IEC-61508 $ ightarrow$ SIL3 (composed of HW and associated platform SW)		
	a) In TMR can achieve: EN-50128/EN-50129 → SIL4		
	 b) The platform provides a RTOS or equivalent to ensure interference freeness (temporal and spatial) among SW tasks 		
	3. Safe Communication Protocol:		
	4. External Interface:		
	a) Safety related I/Os		
	b) Other safety & black channels		
Safe state	De-Energization of safety digital output connected to external safety-relays		
	a) The default state during <i>no-power</i> and <i>startup</i>		
	b) Reached whenever the system, HW or SW diagnosis detects an error		
Diagnosis techniques	The EVC implements diagnosis techniques according to EN-5012x and IEC-61508 (Up to SIL4 level with HFT = 2 and DC > 99 % in addition to compliance with EN-50129)		
	1. Each node: SIL3 (IEC-61508) compliant item with HFT = 0 and DC > 99 %		
	a) Diagnosis for memories, power supply, temporal constraints, I/Os, etc.		
	2. Safety Related Software techniques		
	3. Monitoring of external safety relays		
	4. Safe product development considering safety manuals of all COTS compliant items		

Safety Concept – System Level - Traditional



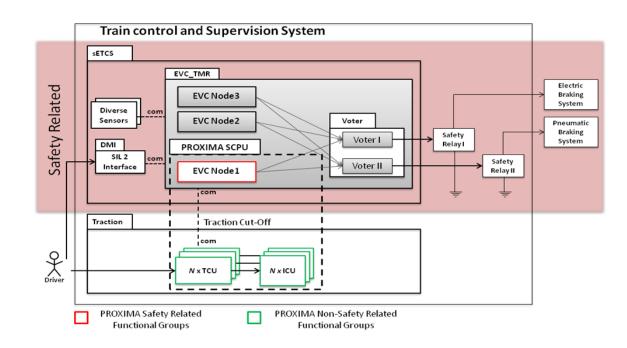
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SC2-Integrated System Level Safety Concept



Mixed-Criticality Integrated Approach: Non-Safety related traction computing nodes integrated with one EVC node.

 Multicore Approach (with virtualization): each computing node becomes a software partition and off-chip communication becomes on-chip communication.
 Overcomes main limitations.



Mixed-Criticality Node Level Safety Concept

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Fault Hypothesis

- ≍ SIL4 software (EN-50128) and methodology (EN-5012x)
- X Safety CPU (SCPU) SIL3 IEC-61508 compliant item
 - The SCPU forms a single Fault-Containment Region
- **The hypervisor:**
 - Provides interference freeness (temporal and spatial isolation)
 - Is certifiable (compliant item)
 - Fails in arbitrary failure mode
- A partition can fail in arbitrary failure mode both in the temporal as well as in the spatial domain

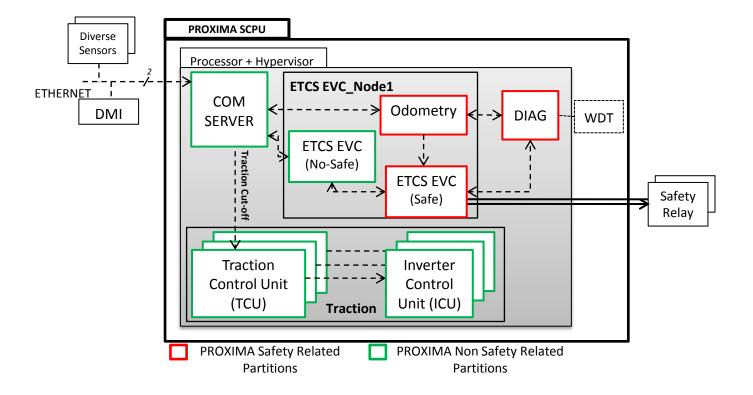


Top-Down Approach:

- **1**. Partitioned Solution on Top of a virtualized processor with Hypervisor.
- **2.** Partitioned solution allocated to a multicore processor.
- **3.** Partitioned solution allocated to a multicore processor with all Hardware resources of interest.

Mixed-Criticality Node (SC2.1/SC2.2 (1/3))

Partitioned Solution on Top of a virtualized processor with Hypervisor

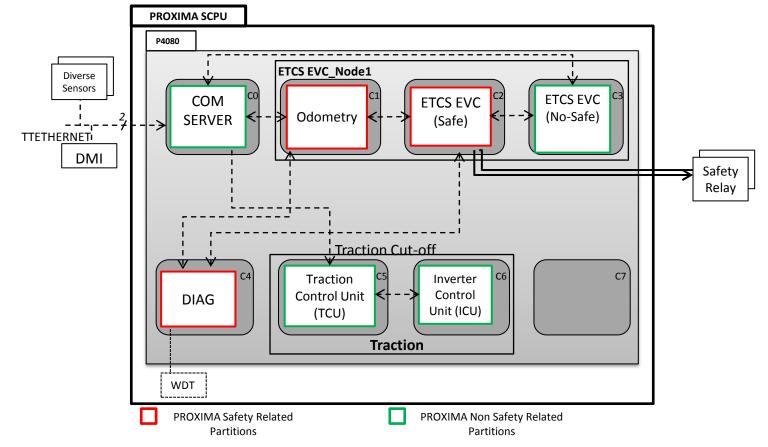


Is it Feasible to develop a Partitioned Solution?

Certifiable Hypervisor System partitioning (safety / real-time / non-real time partitions) Interference freeness between safety and non-safety partitions IK4 🔾 IKERLAN

SC2.2-Mixed-Criticality Node: COTS (2/3)

Partitioned solution allocated to P4080 multicore processor



'Partitions' mapped to a multicore processor:

Partitioning and multicore allocation enables resource usage and performance maximization while ensuring interference freeness.

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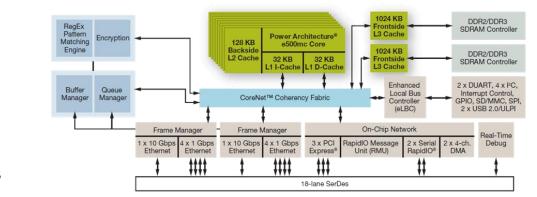
SC2.2-Mixed-Criticality Node: COTS (3/3)



Partitioned solution allocated to P4080 multicore processor with all hardware resources of relevance

- ¤ SCPU:
 - Independent watchdog controller
 - Additional safety techniques (IEC-61508 SIL3, HFT = 0, DC>=99%)

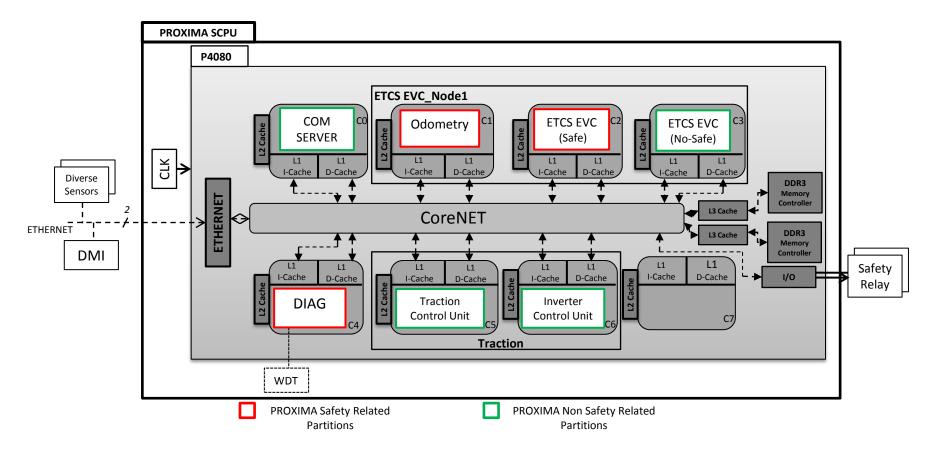
- P4080 platform: 8 Power architecture em500c cores
- Hypervisor:
 - Compliant item
- - Static
 - Designed with qualified tools



Core 📃 Acceleration 📃 Interface

SC2.2-Mixed-Criticality Node: COTS (3/3)

Partitioned solution allocated to P4080 multicore processor with all hardware resources of relevance



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Commercial off-the-shelf processor

- X Access to the information of hardware temporal response
- □ PTA compliancy through software (e.g., Software Randomization)
- PTA as a sound method to determine WCET with improved guaranteed performance
 - Integration tests, measurements, fault injection etc. to check coherency of results.

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05

The industrial protection example

Industrial Development - Xilinx

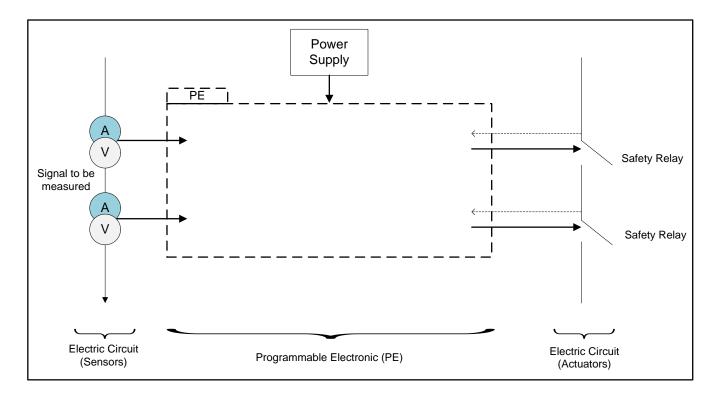


Safety Reference Design (SRD)

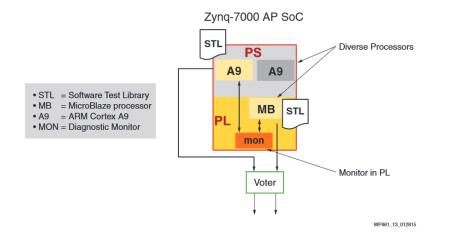
- 1. Power Monitor Protection (PMP) safety function IEC-61508 SIL3
- 2. Zynq-7000 multicore device
- 3. Multiple architectural variants

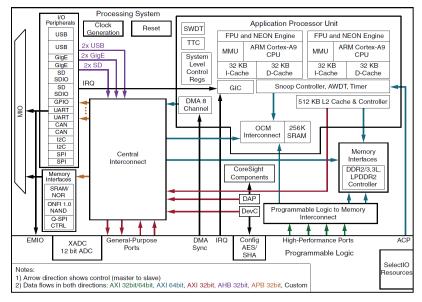
Safety Concept assessment by certification authority (in progress)

More details in <u>SPS IPC Drives</u> (Germany, November)



Xilinx – Safety Reference Design (SRD)





Diversity:

1. HW: PS vs PL, ARM9 vs MicroBlaze

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2. Compilers & Tools

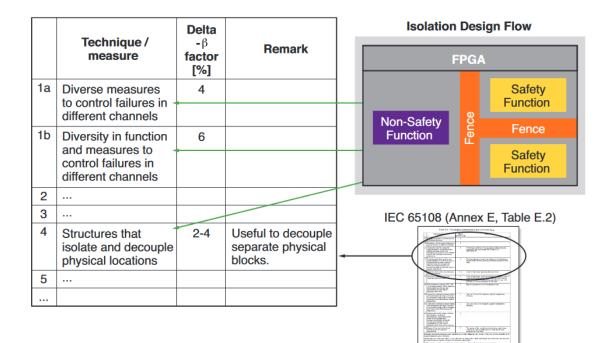
Qualified safety development flow and tools

TrustZone to ensure time & space independence between safety and non-safety applications running ARM9 cores

http://www.xilinx.com/support/documentation/white_papers/wp461-functional-safety.pdf https://www.xilinx.com/publications/prod_mktg/safety-guidelines.pdf http://www.xilinx.com/support/documentation/user_guides/ug1019-zynq-trustzone.pdf



On-chip redundancy with Isolation Design Flow (IDF)



WP461_12_110514

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06

The automotive example

Safety Concept – Cruise Control

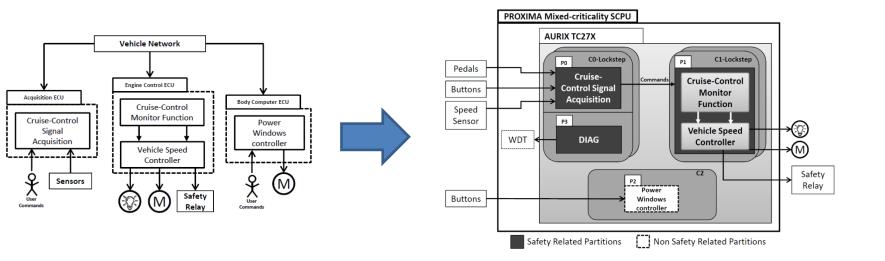


Table 1: M	lain Safetv	Requirements	of the	CC system

ID	Description
SR_CC_1_A	The safety goal " Cruise Control Deactivation " avoids the inability to deactivate the CC when required. In case of a fault leading to inability to deactivate CC, the engine control unit shall switch to a "safe state" within the Process Safety Time (PST) / Fault-Tolerant Time Interval (FTTI).
SR_CC_1_B	"Cruise Control Deactivation" must be provided with ASIL D level.
SR_CC_2_B	The 'CC commands' transmitted by the Cruise Control Signal Acquisition functional unit shall be consistent with the status of the buttons (set, speed+, speed-, off, resume).
SR_CC_3_B	The "Cruise Control Monitor" function shall generate a 'Cruise Control Disengagement' signal consistent with the input button/pedal requests.
SR_CC_5_A	The 'safe state' shall be achieved by deactivation of the Cruise Control System (by commanding safety digital-outputs connected to external safety-relays).
SR_CC_6_A	The PST (IEC-61508) / FTTI (ISO-26262) is 1 second.



[1] Agirre, I., et al. (2016). "Automotive Safety Concept Definition for Mixed-Criticality Integration on a COTS Multicore", Computer Safety, Reliability, and Security, Volume 9923, Series Lecture Notes in Computer Science, pp 273-285.

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Industrial project – Autonomous Emergency Braking (AEB)



Autonomous Emergency Braking

Many accidents are caused by late braking and/or braking with insufficient force. A driver may brake too late for several reasons: he is distracted or inattentive; visibility is poor, for instance when driving towards a low sun; or a situation may be very difficult to predict because the driver ahead is braking unexpectedly or a pedestrian crosses the street without paying attention. Most people are not used to dealing with such critical situations and do not apply enough braking force to avoid a crash or do not brake at all because there is not sufficient time to react.



Several manufacturers have developed technologies which can help the driver to avoid these kinds of accidents or, at least, to reduce their severity. The systems they have developed can be grouped under the title:

Autonomous: the system acts independently of the driver to avoid or mitigate the accident. **Emergency**: the system will intervene only in a critical situation. **Braking**: the system tries to avoid the accident by applying the brakes.

AEB systems improve safety in two ways: firstly, they help to avoid accidents by identifying critical situations early and warning the driver; and secondly they reduce the severity of crashes which cannot be avoided by lowering the speed of collision and, in some cases, by preparing the vehicle and restraint systems for impact.

Most AEB systems use radar, (stereo) camera and/or lidar-based technology to identify potential collision partners ahead of the car. This information is combined with what the car knows of its own travel speed and trajectory to determine whether or not a critical situation is developing. If a potential collision is detected, AEB systems generally (though not exclusively) first try to avoid the impact by warning the driver that action is needed. If no action is taken and a collision is still expected, the system will then apply the brakes. Some systems apply full braking force, others an elevated level. Either way, the intention is to reduce the speed with which the collision takes place. Some systems deactivate as soon as they detect avoidance action being taken by the driver.

http://www.euroncap.com/en/vehicle-safety/the-rewards-explained/autonomous-emergency-braking/

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07

Conclusions and lessons learnt

Conclusions and lessons learnt



It is feasible to achieve SIL3 IEC-61508 / Pld ISO-13849 / SIL4 EN-5012x / ASILC ISO-26262 with COTS multicore, hypervisor partitioning and current safety standard versions. (Not easy, but feasible)

Temporal independence and isolation:

- Temporal isolation simplifies the safety argumentation but... Temporal independence does not necessarily require temporal isolation.
- The lack of complete temporal isolation and rare (undocumented) temporal events could reduce the availability of the system but should not jeopardize safety (fault avoidance and control).

The same strategy can be extended to different domains with safety standards that use IEC-61508 as reference standard.

- **¤** Railway signaling, SIL4 EN-5012X using PTA (Probabilistic Time Analysis)
- ズ Industrial protection, IEC-61508 SIL3



